

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2020

Name of Fund : LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	8.75% INDIABULLS HOUSING FINANCE LIMITED DB 26-09-2021	HTDN	3.03	06/09/2017	CARE	AAA	AA	15/02/2020	
B.	<u>As on Date</u>								
	8.25% GESHIP DB 25-05-2027	ECOS	19.02	25/09/2017	CARE	AAA	AA+	05/10/2018	
	8.75% INDIABULLS HOUSING FINANCE LIMITED DB 26-09-2021	HTDN	3.03	06/09/2017	CARE	AAA	AA	15/02/2020	
	9.40% IDBI BANK BS 25-03-2026	ORAD	3.01	30/03/2011	ICRA	AA	BBB	13/03/2019	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	1.23	31/08/2018	CARE	AAA	D	20/09/2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 09-04-2020

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN

Authorised Signatory

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at
4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2020

Name of Fund : PENSION FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 09-04-2020

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN

Authorised Signatory

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at
4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2020

Name of Fund : UNIT LINKED

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	8.75% INDIABULLS HOUSING FINANCE LIMITED DB 26-09-2021	HTDN	2.06	01/11/2018	CARE	AAA	AA	15/02/2020	
B.	<u>As on Date</u>								
	8.25% GESHIP DB 25-05-2027	ECOS	1.05	26/06/2018	CARE	AAA	AA+	05/10/2018	
	8.75% INDIABULLS HOUSING FINANCE LIMITED DB 26-09-2021	HTDN	2.06	01/11/2018	CARE	AAA	AA	15/02/2020	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	1.25	31/08/2018	CARE	AAA	D	20/09/2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 09-04-2020

Signature :

Full Name and Designation : MR. G.VAIDYANATHAN

Authorised Signatory

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at
4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE